



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 7681**
Akihisa HONGO et al. : Docket No. 2001_0519A
Serial No. 09/842,650 : Group Art Unit 1763
Filed April 27, 2001 : Examiner S. Macarthur

REVOLUTION MEMBER SUPPORTING
APPARATUS AND SEMICONDUCTOR
SUBSTRATE PROCESSING APPARATUS

COPY

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

1a. [] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☒ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☒ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

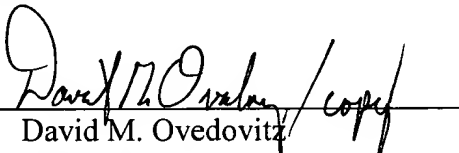
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Akihisa HONGO et al.

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January 2, 2004

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: January 2, 2004

ATTY DOCKET NO.
2001_0519ASERIAL NO.
09/842,650APPLICANT
Akihisa HONGO et al.FILING DATE
April 27, 2001GROUP
1763

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|--------------------|---------|-----------------|-------|----------|-------------------------------|
| | AA | 6,508,920 | 1/2003 | Ritzdorf et al. | | | |
| | AB | 6,558,518 | 5/2003 | Sendai et al. | | | |
| | AC | 6,258,220 | 7/2001 | Dordi et al. | | | |
| | AD | 6,254,760 | 7/2001 | Shen et al. | | | |
| | AE | 6,267,853 | 7/2001 | Dordi et al. | | | |
| | AF | 2002/0004301 | 1/2002 | Chen et al. | | | |
| | AG | 2002/0029961 | 3/2002 | Dordi et al. | | | |
| | AH | 6,176,992 | 1/2001 | Talieh | | | |
| | AI | 6,017,437 | 1/2000 | Ting et al. | | | |
| | AJ | 5,972,192 | 10/1999 | Dubin et al. | | | |

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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO | |
|--|----|--------------------|------|---------|-------|----------|-----------------------|--|
| | AK | | | | | | | |
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|--|
| | AO | |
| | AP | |
| | AQ | |

EXAMINER

DATE CONSIDERED



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ATTY DOCKET #: 2001_0519A

Due Date: January 1, 2004

Confirmation No. 7681

OUR REF: 2001_0519A/DMO/01213

Applicant: Akihisa HONGO et al.

Serial No.: 09/842,650

Filing Date: April 27, 2001

Title: REVOLUTION MEMBER SUPPORTING APPARATUS AND
SEMICONDUCTOR SUBSTRATE PROCESSING APPARATUS

Receipt of the following papers is acknowledged:

Patent Office Fee Transmittal Form (in duplicate)

Petition for Two Month Extension of Time

Additional Claims Fee Transmittal Letter

Amendment

Substitute Specification (Marked-Up Version - 176 pages)

Substitute Specification and Abstract (Clean Version - 177 pages)

Substitute Formal Drawings (Figs. 1A-78, 68 sheets, A4 paper)

Information Disclosure Statement, PTO-1449 and 10 references

Check in the amount of \$960.00



Date: January 2, 2004

Attorney: DMO/jmj

[Check No. 5954a]